

Roth Conversion Sample Case

CLIENT INFORMATION

Name: Riley Roth	Date of birth: 1/2/1958	Life expectancy: 95
Spouse		
Name: Robin Roth	Date of birth: 1/2/1960	Life expectancy: 95

ACCOUNTS

Client	
Qualified - Portfolio Type: <input type="radio"/> Conservative <input checked="" type="radio"/> Moderate <input type="radio"/> Aggressive <input type="radio"/> Custom (Obtain holdings of the account)	Balance \$800,000
Roth - Portfolio Type: <input type="radio"/> Conservative <input type="radio"/> Moderate <input type="radio"/> Aggressive <input type="radio"/> Custom (Obtain holdings of the account)	Balance
Spouse	
Qualified - Portfolio Type: <input type="radio"/> Conservative <input checked="" type="radio"/> Moderate <input type="radio"/> Aggressive <input type="radio"/> Custom (Obtain holdings of the account)	Balance \$800,000
Roth - Portfolio Type: <input type="radio"/> Conservative <input type="radio"/> Moderate <input type="radio"/> Aggressive <input type="radio"/> Custom (Obtain holdings of the account)	Balance
Joint Non-Qualified	
NQ - Portfolio Type: <input type="radio"/> Conservative <input checked="" type="radio"/> Moderate <input type="radio"/> Aggressive <input type="radio"/> Custom (Obtain holdings of the account)	Balance \$700,000

INSURANCE PRODUCTS (Obtain details from the sales or inforce illustration.)

Life Insurance: <input type="radio"/> Permanent <input type="radio"/> Term	Client: <input type="radio"/> Yes <input type="radio"/> No	Spouse: <input type="radio"/> Yes <input type="radio"/> No
LTC:	Client: <input type="radio"/> Yes <input type="radio"/> No	Spouse: <input type="radio"/> Yes <input type="radio"/> No
Deferred Annuity:	Client: <input type="radio"/> Yes <input type="radio"/> No	Spouse: <input type="radio"/> Yes <input type="radio"/> No
SPIA/DIA:	Client: <input type="radio"/> Yes <input type="radio"/> No	Spouse: <input type="radio"/> Yes <input type="radio"/> No
Health Insurance:	Client: <input type="radio"/> Yes <input type="radio"/> No	Spouse: <input type="radio"/> Yes <input type="radio"/> No

INCOMES

Client	
Income type: <input type="radio"/> Earned income <input type="radio"/> Pension <input type="radio"/> Non-covered pension	
Start date:	End date/age:
Monthly income amount:	COLA:
Spouse	
Income type: <input type="radio"/> Earned income <input type="radio"/> Pension <input type="radio"/> Non-covered pension	
Start date:	End date/age:
Monthly income amount:	COLA:

SOCIAL SECURITY

Obtain a current Social Security Statement from your client. If your client has a non-covered pension, you will need the entire earnings record.

Client	
Statement date: 5/5/2022	Estimated benefit at full retirement age: \$3,000
Already elected: <input type="radio"/> Yes <input checked="" type="radio"/> No Age of election:	Current gross monthly benefit amount:
Spouse	
Statement date: 5/5/2022	Estimated benefit at full retirement age: \$2,500
Already elected: <input type="radio"/> Yes <input checked="" type="radio"/> No Age of election:	Current gross monthly benefit amount:

JOINT DEBTS

Balance:	Start date:
Monthly payment:	APR%:

BASIC INCOME NEEDS

Joint need: \$9,000	Survivor need: \$7,500
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ADDITIONAL INCOME NEEDS

Name:	Monthly need:
Start date:	End date: